

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

1324

In Re Application Of: LAUMEN, J., ET AL

Serial No.

09/623,946 ✓

Filing Date

09/12/00

Examiner

Group Art Unit

5040

Title:

APPARATUS AND METHOD FOR ENCODING AND DECODING DATA

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

## 37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

## 37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Technology Center 210

OCT 23 2001

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-4675 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on OCT. 23, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

MICHAEL J. STRIKER

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Signature

Dated: OCTOBER 23, 2000

CC:

UNITED STATES PATENT AND TRADEMARK OFFICE

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APR 23 2001

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*C. B. B. B.*  
*4-25-01*

Examiner:.

Group:

Technology Center 2100  
Attorney Docket # 1324



Applicant(s) : LAUMEN, J., ET AL

Technology Center 2100

Serial No. : 09/623,946

1000 2 1 2001

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For : APPARATUS AND METHOD FOR ENCODING AND  
DECODING DATA

INFORMATION DISCLOSURE STATEMENT

October 23, 2000

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R S:

\_\_\_\_\_ In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of a Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.

\_\_\_\_\_ In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.

  X   Attached hereto is a FORM PTO 1449 listing the references.

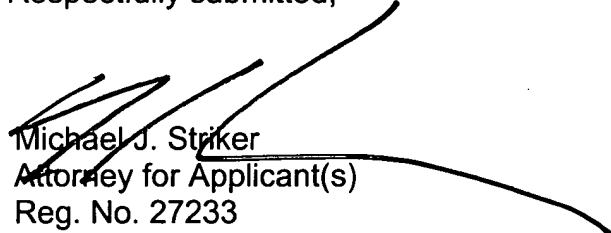
  X   Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents.

\_\_\_\_\_ Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

page 2 of 2

- \_\_\_\_\_ Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.
- \_\_\_\_\_ Attached hereto is a copy of the Office Action issued in the corresponding German application, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.
- \_\_\_\_\_ Attached hereto copies of references cited which may be pertinent to this application. An English translation of the references is also attached.
- \_\_\_\_\_ Attached hereto is a Statement of Relevancy and copies of references cited therein.
- \_\_\_\_\_ Relevancy indicated in WIPO Documents.

Respectfully submitted,



Michael J. Striker  
Attorney for Applicant(s)  
Reg. No. 27233

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

1324

Application Number

09/623,946

Applicant(s)

LAUMEN, J., ET AL

Filing Date

09/12/00

Group Art Unit

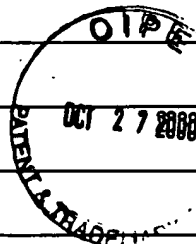
## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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APR 23 2001

Technology Center 2100



## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		30 32 468 C2	01/23/86	DE				✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.